# **Effective Software**

Lecture 7: Virtual machine, JVM bytecode, (de-)compilers, disassembler, profiling

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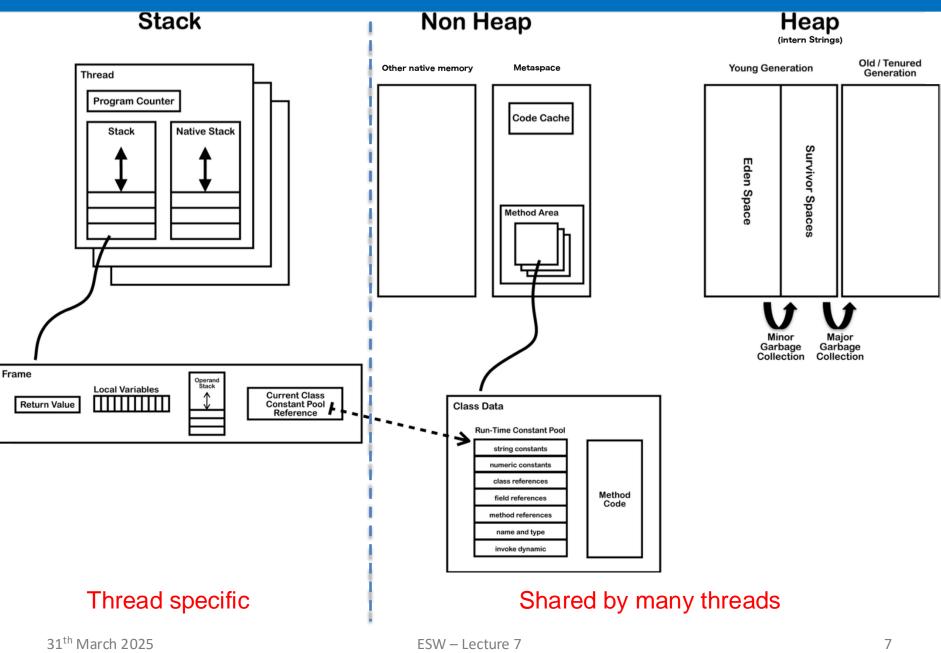
[1] Oaks, S.: Java Performance: 2<sup>nd</sup> Edition. O'Reilly, USA 2020.
[2] Fog, A.: The microarchitecture of Intel, AMD and VIA CPU, 2016.
[3] JVM source code - <u>http://openjdk.java.net</u>

## Outline

- » Introduction to Virtual Machine
- » Memory layout
  - Stack, Frames
- » JVM bytecode
  - Disassembler
  - Decompiler
- » Just-in-time compilation
  - Tiered approach
  - Optimizations
  - Assembly code analysis
- » Safepoint
- » Application profiling
  - Sampling
  - Tracing

- » Virtual machine model (NET, JVM Scala, Kotlin, Jython, JRuby, Clojure, ...)
  - source code
  - compiled into VM *bytecode*
  - hybrid run-time environment (platform dependent VM implementation)
    - interpreted *bytecode*
    - complied assembly-code (native CPU code)
    - automated platform capability optimizations (e.g. use of SIMD)
- » comparison of **bytecode** to **assembly-code** 
  - (+) platform independence (portable) architecture (RISC/CISC, bits), OS
  - (+) reflection observe, modify own structure at run-time
  - (+) small size
  - (-) slower execution interpreted mode, compilation latencies
  - (-) less control on assembly code less options for custom optimization

## JAVA Virtual Machine – Memory Layout



#### » frame

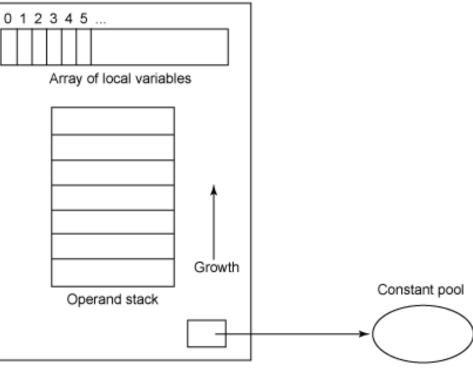
- each thread has stack with frames (outside of heap, fixed length)
   StackOverflowError vs. OutOfMemoryError
- » frame is **created** each time method is invoked (**destroyed** after return)
  - interpreted frame per exactly one method
  - complied frame includes all in-lined methods
- » frame size determined at source compile-time (in class file for interpreted)
- » variables (any type)
  - » {this} instance call only!
  - » {method arguments}
  - » {local variables}
- » operand stack (any type)

» LIFO

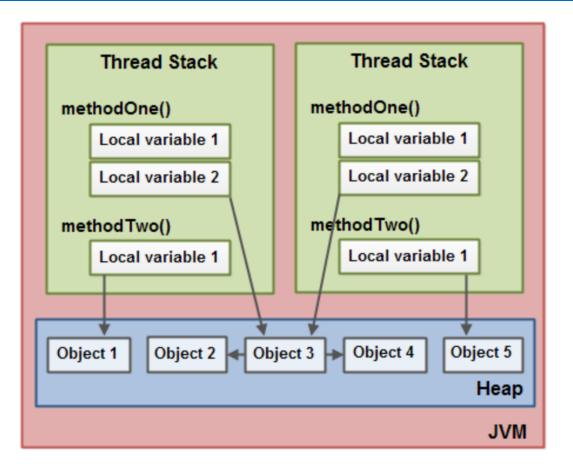
» reference to run-time

constant pool (class def)

» method + class is associated 31<sup>th</sup> March 2025



#### JAVA Virtual Machine – Memory Layout

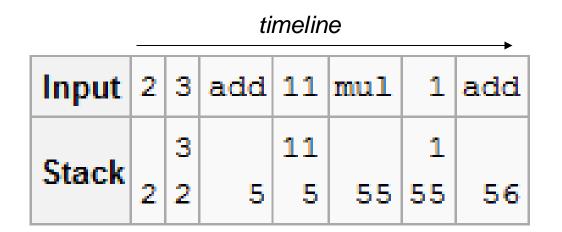


- » References in JVM are called Ordinary Object Pointers (OOP)
  - compressed 32 bit able to address 32GB heap (using object alignment)
  - regular 64 bit

## JAVA Virtual Machine – Stack-oriented Machine

- » JVM bytecode uses stack-oriented approach for most operations
- » stack-oriented stack machine model for passing parameters and output for instructions

$$(2+3) \times 11 + 1$$



- » JVM bytecode sequence of *instructions* composed of
  - *opcode* operation code, what should be done
  - opcode specific *parameters* some has no params, some multiple

#### JAVA Virtual Machine – Opcodes

- **» JVM opcode** (1 Byte only always):
  - » load and store (aload\_0, istore, aconst\_null, ...)
  - » arithmetic and logic (ladd, fcmpl, ...)
  - » type conversion (i2b, d2i, ...)
  - » object manipulation (new, putfield, getfield, ...)
  - » stack management (swap, dup2, ...)
  - » control transfer (ifeq, goto, ...)
  - » method invocation (invokespecial, areturn, ...) frame manipulation
  - » exceptions and monitor concurrency (athrow, monitorenter, ...)
- » prefix/suffix i, l, s, b, c, f, d and a (reference)
- » variables as registers e.g. istore\_1 (variable 0 is this for instance method)

mo∨ shl sub add inc	%rax,%r8 \$0x5,%eax %r8d,%eax %ecx,%eax %edx	VS.	iconst_0 istore_3 iload_3 bipush	100
	sembly-code		JVM byteco	de

AT&T syntax ESW – Lecture 7

## JAVA Virtual Machine – Object Oriented Language

- » Class file product of source code compilation
  - one per each class
  - method bytecode is included

ClassFile {	
u4	magic;
u2	minor_version;
u2	major_version;
u2	constant_pool_count;
cp_info	<pre>contant_pool[constant_pool_count - 1];</pre>
u2	access_flags;
u2	this_class;
u2	super_class;
u2	interfaces_count;
u2	interfaces[interfaces_count];
u2	fields_count;
field_info	fields[fields_count];
u2	methods_count;
method_info	methods[methods_count];
u2	attributes_count;
attribute_info	attributes[attributes_count];

#### JAVA Virtual Machine – Example 1 – Source Code

```
public class Employee<Type> {
    private Type data;
                                     notice usage of Generics
    public int id;
    public Employee(Type data, int id) {
        update(data,id);
    private void update(Type data, int id) {
        this.data = data;
        this.id = id;
    public Type employeeData() {
        return data;
```

## JAVA Virtual Machine – Example 1 – Class File Content

00000000	са	fe	ba	be	00	00	00	34	00	20	0a	00	06	00	19	0a	I4I			
00000010	00	05	00	1a	09	00	05	00	1b	09	00	05	00	1c	07	00	1			
00000020	1d	07	00	1e	01	00	04	64	61	74	61	01	00	12	4c	6a	Lj			
00000030	61	76	61	2f	6c	61	6e	67	2f	4f	62	6a	65	63	74	3b	ava/lang/Object;			
00000040	01	00	09	53	69	67	6e	61	74	75	72	65	01	00	06	54	ISignatureT			
00000050	54	79	70	65	3b	01	00	02	69	64	01	00	01	49	01	00	Type;idI			
00000060	06	3c	69	6e	69	74	3e	01	00	16	28	4c	6a	61	76	61	l. <init>(Ljaval</init>			
00000070	2f	6c	61	6e	67	2f	4f	62	6a	65	63	74	3b	49	29	56	<pre>l/lang/Object;I)VI</pre>			
00000080	01	00	04	43	6f	64	65	01	00	Øf	4c	69	6e	65	4e	75	<pre>lCodeLineNul</pre>			
00000090	6d	62	65	72	54	61	62	6c	65	01	00	0a	28	54	54	79	ImberTable(TTy			
000000a0	70	65	3b	49	29	56	01	00	06	75	70	64	61	74	65	01	<pre>lpe;I)Vupdate.l</pre>			aanataat
000000b0	00	0c	65	6d	70	6c	6f	79	65	65	44	61	74	61	01	00	employeeData		class	constant
000000c0	14	28	29	4c	6a	61	76	61	2f	6c	61	6e	67	2f	4f	62	.()Ljava/lang/Ob		nool	
000000d0	6a	65	63	74	3b	01	00	80	28	29	54	54	79	70	65	3b	ject;()TType;		pool	
000000e0									3a	4c	6a	61	76	61	2f	6c	+ <type:ljava∕l∣< td=""><td></td><td></td><td></td></type:ljava∕l∣<>			
000000f0	61	6e	67	2f	4f	62	6a	65							61		∣ang/Object;>Ljav∣			
00000100									62	6a	65	63	74	3b	01	00	a/lang/Object;			
00000110									69	6c	65	01	00	Ød	45	6d	I.SourceFileEm			
00000120	70	6c	6f	79	65	65	Ze	6a	61	76	61	0c	00	0d	00	1f	ployee.java			
00000130	0c	00	12	00	0e	0c	00	07	00	08	0c	00	0b	00	0c	01	1			
00000140															6c		<pre>Iemployee/EmploI</pre>			
00000150									76	61	2f	6c	61	6e	67	2f	yeejava/lang/			
00000160	4f	62	6a	65	63	74	01	00	03	28	29	56	00	21	00	05	Object()V.!			
00000170									00						00		ll			
00000180	00	00	00	02	00	0a	00	01	00	0b	00	0c	00	00	00	03	1			
00000190	00	01	00	Ød	00	0e	00	02	00	0f	00	00	00	2b	00	03	+			
000001a0									00	01	2a	2b	1c	b7	00	02	**+			
000001b0	b1	00	00	00	01	00	10	00	00	00	0e	00	03	00	00	00	ll			
000001c0	07	00	04	00	<b>0</b> 8	00	0a	00	09	00	09	00	00	00	02	00	1			
000001d0															2b		+.			
000001e0	02	00	03	00	00	00	0b	2a	2b	b5	00	03	2a	1c	b5	00	*+*			
000001f0	04	b1	00	00	00	01	00	10	00	00	00	0e	00	03	00	00	1			
00000200	00	0c	00	05	00	Ød	00	0a	00	0e	00	09	00	00	00	02	1			
00000210									00	02	00	Øf	00	00	00	1d	ll			
00000220	00	01	00	01	00	00	00	05	2a	b4	00	03	b0	00	00	00				
00000230	01	00	10	00	00	00	06	00	01	00	00	00	11	00	09	00	1			
00000240	00	00	02	00	15	00	02	00	09	00	00	00	02	00	16	00	ll			
00000250	17	00	00	00	02	00	18										1			

## JAVA Virtual Machine – Example 1 – Disassembled Constants

#### » javap – JAVA disassembler included in JDK (readable form of class file)

public class employee.Employee<Type extends java.lang.Object> extends java.lang.Object

minor version: 0			
major version: 52			
flags: ACC_PUBLIC, AC	C_SUPER		
Constant pool:			
#1 = Methodref	#6.#25	// java/lang/Object." <init>":()V</init>	
#2 = Methodref	#5.#26	// employee/Employee.update:(Ljava/lang/Object;I)V – Generics US	sed only
#3 = Fieldref	#5.#27		· · · · · · · · · · · · · · · · · · ·
#4 = Fieldref	#5.#28	// employee/Employee.id:I	lion
#5 = Class	#29	// employee/Employee	
#6 = Class	#30	// java/lang/Object	
#7 = Utf8	data		
#8 = Utf8	Ljava/lang/(	Dbject;	
#9 = Utf8	Signature		
#10 = Utf8	TType;		
#11 = Utf8	id		
#12 = Utf8	I		
#13 = Utf8	<init></init>		
#14 = Utf8	(Ljava/lang/	/Object;I)V	
#15 = Utf8	Code		
#16 = Utf8	LineNumberTo	able	
#17 = Utf8	(TType;I)V		
#18 = Utf8	update		
#19 = Utf8	employeeData	a	
#20 = Utf8	()Ljava/lang	g/Object;	
#21 = Utf8	()TType;		
#22 = Utf8	<type:ljava∕< td=""><td>/lang/Object;&gt;Ljava/lang/Object;</td><td></td></type:ljava∕<>	/lang/Object;>Ljava/lang/Object;	
#23 = Utf8	SourceFile		
#24 = Utf8	Employee.ja	va	
#25 = NameAndType	#13:#31	// " <init>":()V</init>	
#26 = NameAndType	#18:#14	// update:(Ljava/lang/Object;I)V	
#27 = NameAndType	#7:#8	// data:Ljava/lang/Object;	
#28 = NameAndType	#11:#12	// id:I	
#29 = Utf8	employee/Emp		
#30 = Utf8	java/lang/Oł	pject	
#31 = Utf8	()V		
{			
}			17
Signature: #22		// <type:ljava lang="" object;="">Ljava/lang/Object;</type:ljava>	

#### JAVA Virtual Machine – Example 1 – Disassembled Fields

{
 private Type data;
 descriptor: Ljava/lang/Object;
 flags: ACC\_PRIVATE
 Signature: #10

// TType;

public int id; descriptor: I flags: ACC\_PUBLIC

- » **descriptor** is used by VM no generics included
- » **signature** is used for compilation contains Generics

## JAVA Virtual Machine – Example 1 – Disassembled Method

<pre>public Type employeeData(); descriptor: ()Ljava/lang/Object; flags: ACC_PUBLIC</pre>	<pre>16 public Type employeeData() { 17 return data; 18 }</pre>
Code:	an and a offerst in but and
<pre>stack=1, locals=1, args_size=1</pre>	opcode offset in bytecode
0 <mark>: aload_0</mark>	for the method employeeData
1: getfield #3	<pre>// Field data:Ljava/lang/Object;</pre>
4: areturn	
LineNumberTable:	
line 17: 0	
Signature: #21	// ()TType;

- » getfield
  - takes 1 ref from stack
  - build an index into runtime pool of class instance by reference this
- » areturn
  - takes 1 ref from stack
  - push onto the stack of calling method

0		1		2	3	4
aload_0	getf	ielo	1	00	03	areturn
	0	1	2	3	4	
	2A	в4	00	0 <b>3</b>	вO	

#### JAVA Virtual Machine – Example 1 – Disassembled Constructor

```
public employee.Employee(Type, int);
 descriptor: (Ljava/lang/Object;I)V
 flags: ACC_PUBLIC
 Code:
    stack=3, locals=3, args_size=3
       0: aload_0
      1: invokespecial #1
                                           // Method java/lang/Object."<init>":()V
      4: aload_0
      5: aload 1
      6: iload_2
      7: invokespecial #2
                                           // Method update:(Ljava/lang/Object;I)V
     10: return
   LineNumberTable:
     line 7: 0
                                                                         public Employee(Type data, int id) {
                                                            7
     line 8: 4
                                                            8
                                                                              update(data,id);
     line 9: 10
                                                                         }
                                                            9
  Signature: #17
                                         // (TType;I)V
                                                           10
                                                           11
                                                                         private void update(Type data, int id) {
private void update(Type, int);
                                                                              this.data = data;
                                                           12
 descriptor: (Ljava/lang/Object;I)V
                                                                              this.id = id;
                                                           13
 flags: ACC_PRIVATE
                                                          14
                                                                         }
 Code:
    stack=2, locals=3, args_size=3
      0: aload_0
      1: aload_1
                                           // Field data:Ljava/lang/Object;
      2: putfield
                       #3
      5: aload 0
      6: iload_2
                                           // Field id:I
      7: putfield
                       #4
     10: return
   LineNumberTable:
     line 12: 0
     line 13: 5
                                                                                                                  20
     line 14: 10
  Signature: #17
                                         // (TType;I)V
```

## JAVA Virtual Machine – Example 1 – Decompiler

#### » procyon – open-source JAVA decompiler (bytecode -> source code)

```
// Decompiled by Procyon v0.5.30
//
package employee;
public class Employee<Type>
                                                       public class Employee<Type> {
                                                           private Type data;
   private Type data;
                                                           public int id;
   public int id;
                                                           public Employee(Type data, int id) {
   public Employee(final Type type, final int n) {
                                                                update(data,id);
       this.update(type, n);
                                                            }
   private void update(final Type data, final int id) { ]
                                                           private void update(Type data, int id) {
       this data = data:
                                                                this.data = data;
       this id = id:
                                                                this.id = id;
   public Type employeeData() {
                                                           public Type employeeData() {
       return this data;
                                                                return data;
                                                       }
```

De-compiled source code

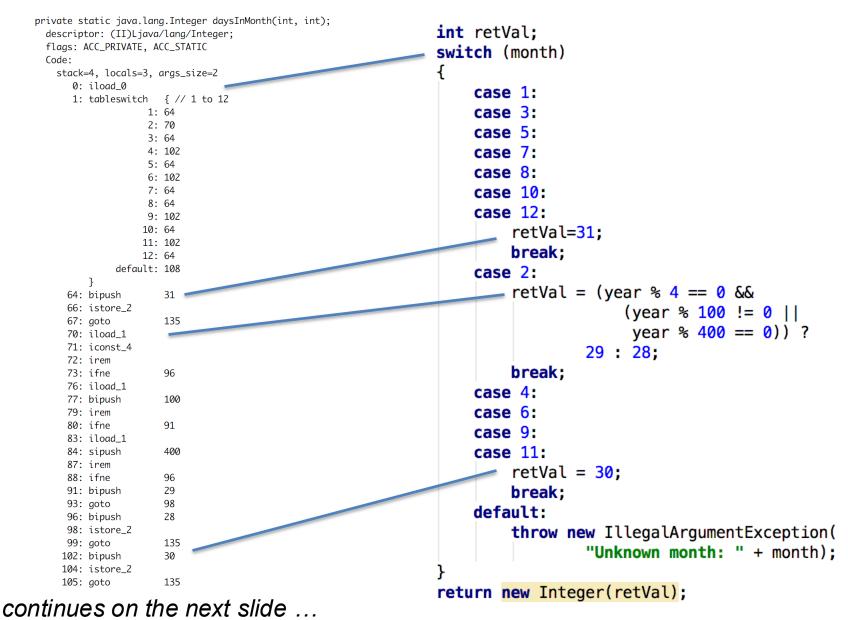
11

Original source code

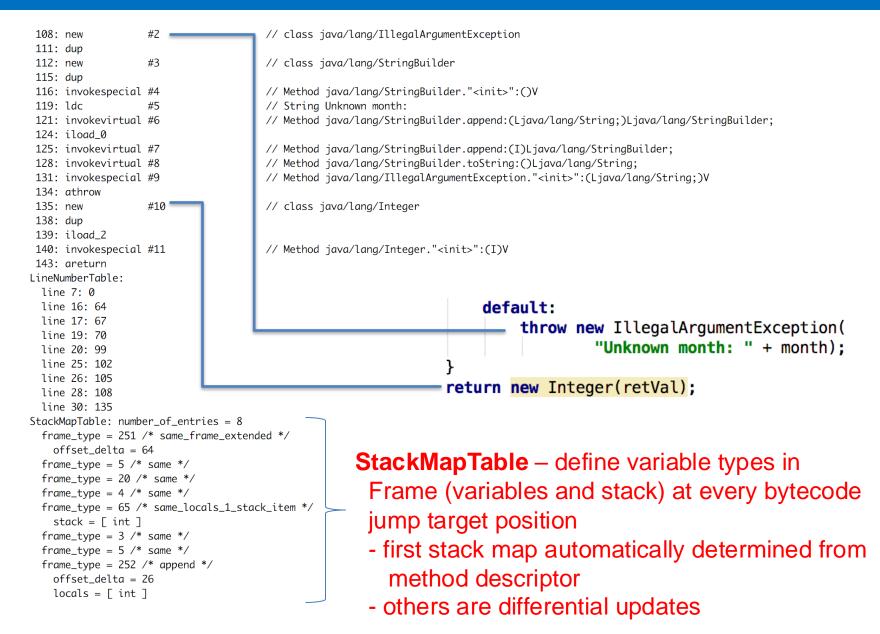
#### JAVA Virtual Machine – Example 2 – Source Code

```
private static Integer daysInMonth(int month, int year)
    int retVal;
    switch (month)
    {
        case 1:
        case 3:
        case 5:
        case 7:
        case 8:
        case 10:
        case 12:
            retVal=31;
            break;
        case 2:
            retVal = (year % 4 == 0 && (year % 100 != 0 || year % 400 == 0)) ? 29 : 28;
            break:
        case 4:
        case 6:
        case 9:
        case 11:
            retVal = 30;
            break;
        default:
            throw new IllegalArgumentException("Unknown month: " + month);
    }
    return new Integer(retVal);
}
private static int compute() {
    int month = 4;
    int year = 2000;
    int o=0;
    for (int i=0; i<1_000_000; i++) {</pre>
        o+=daysInMonth(month, year);
    }
    return o;
```

#### JAVA Virtual Machine – Example 2 – daysInMonth Bytecode



## JAVA Virtual Machine – Example 2 – daysInMonth Bytecode



#### JAVA Virtual Machine – Example 2 – compute Bytecode

```
private static int compute();
           descriptor: ()I
                                                                             private static int compute() {
           flags: ACC_PRIVATE, ACC_STATIC
                                                                                  int month = 4:
           Code:
            stack=3, locals=4, args_size=0
                                                                                  int year = 2000;
               0: iconst_4
                                                                                  int o=0:
               1: istore 0
                             2000
               2: sipush
                                                                                  for (int i=0; i<1_000_000; i++) {</pre>
               5: istore_1
                                                                                       o+=daysInMonth(month, year);
               6: iconst 0
               7: istore_2
               8: iconst_0
                                                                                  return o;
               9: istore_3
              10: iload 3
                                                                             }
              11: ldc
                             #12
                                             // int 1000000
              13: if_icmpge
                             33
              16: iload_2
                                                                                    No optimization during
   foi
              17: iload_0
              18: iload_1
              19: invokestatic #13
                                             // Method daysInMonth:(II)Ljava/lang/Integer;
                                                                                    source code compilation !
cvcle
              22: invokevirtual #14
                                             // Method java/lana/Integer.intValue:()I
              25: iadd
              26: istore_2
              27: iinc
                             3, 1
                                                                                     Interpreted code execution
              30: aoto
                             10
              33: iload_2
                                                                                    is as inefficient as your source
              34: ireturn
            LineNumberTable:
                                                                                    code !!!
              line 34: 0
              line 35: 2
              line 36: 6
              line 37: 8
              line 38: 16
              line 37: 27
              line 40: 33
            StackMapTable: number_of_entries = 2
                                                    bytecode offset 10 is related to for cycle bytecode start
              frame_type = 255 /* full_frame */
                offset_delta = 10
                                                    where there are 4 ints as local variables and no stack
                locals = [ int, int, int, int ]
                stack = []
              frame_type = 250 /* chop */
                offset_delta = 22
```

## JAVA Virtual Machine – Source Code Compilation

- » source code compilation (source code => bytecode)
  - » *bytecode* is not better than your *source code* 
    - » invariants in loop are not removed
  - » no optimizations like
    - » loop unrolling
    - » algebraic simplification
    - » strength reduction

**obfuscation** = make code difficult to be understood by humans but with the same functionality

- » optionally *bytecode* can be modified before execution by JVM
  - e.g. **ProGuard** *obfuscator* including bytecode optimizations
    - shrinker compact code, remove dead code
    - optimizer
      - modify access pattern (private, static, final)
      - inline bytecode
    - obfuscator renaming, layout changes
- preverifier ensure class loading

Test yourself

- compute method is simplified
- faster interpretation
- better JIT output

## JAVA Virtual Machine – Bytecode Compilation in run-time

#### » Just-in-time (JIT)

- » converts bytecode into assembly code in run-time
- » check OpenJDK sources for very detailed information

#### http://openjdk.java.net

- » JIT includes adaptive optimization (adaptive tiered compilation since version 7)
  - » balance trade-off between JIT and interpreting instructions
  - » monitors frequently executed parts "hot spots" **including data** on caller-callee relationship for virtual method invocation
  - » triggers dynamic re-compilation based on current execution profile
  - » inline expansion to remove context switching
  - » optimize branches
  - » can make risky assumption (e.g. skip code) ->
    - » unwind to valid state
    - » de-optimize previously JITed code even if code is already executed
- » Ahead-of-Time Compilation (AOT) remove warm-up phase (removed Java 17)
  - compile into assembly code prior to launching the virtual machine

## JAVA Virtual Machine – JIT Compilation

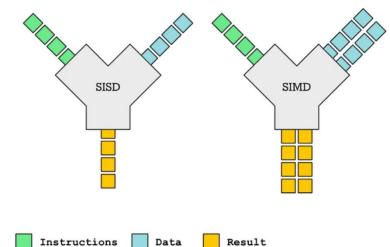
- » Just-in-time (JIT) compilers asynchronous (multiple threads)
  - » C1 compiler much faster compilation than C2
    - » simplified inlining, use CPU registers
    - » window-based optimization over small set of instructions
    - » intrinsic functions with vector operations SIMD (Math, arraycopy, ...)
  - » C2 compiler high-end fully optimizing compiler
    - » dead code elimination, loop unrolling, loop invariant hoisting, common subexpression elimination, constant propagation
    - » full inlining, full de-optimization (back to level 0)
    - » escape analysis, null check elimination,
    - » pattern-based loop vectorization and super word packing (SIMD)
- » JIT compilation tiers adaptive compilation levels in JVM

CompLevel_none	= 0,	// Interpreter
CompLevel_simple	= 1,	// C1
CompLevel_limited_profile	= 2,	<pre>// C1, invocation &amp; backedge counters</pre>
CompLevel_full_profile	= 3,	<pre>// C1, invocation &amp; backedge counters + mdo</pre>
CompLevel_full_optimization	1 = 4,	// C2

- » on-stack replacement (OSR) optimization during execution of a method
  - » start at bytecode jump targets (goto, if\_)

## **Assembly Code**

- » reasons to study assembly code (both Java and C/C++)
  - educational reasons
    - predict efficient coding techniques
  - debugging and verification
    - how well the code looks like
  - optimize code
    - 1. for speed
      - avoid poorly compiled patterns
      - data fits into cache
      - predictable branches or no branches
      - use <u>vector programing</u> if possible (SIMD)
        - » 256bit registers with AVX2 since Intel Sandy Bridge
        - » 512bit AVX-512 since Intel Knight Landing (Xeon Phi)
      - new Vector API as incubator module since Java 16
    - 2. for size
      - primarily code cache efficiency



## JAVA Virtual Machine – Example 2 – Tiered Compilation

#### -XX:+PrintCompilation (-XX:+PrintInlining) **>>**

{millis from start} {compilation\_task\_id} {flags} {tier} {class:method} (bytecode size)@OSR {removing not rentrant/zombie}

67	1	3	java.lang.String::hashCode (55 bytes)	
68	2	3	java.lang.String::charAt (29 bytes)	
69	3	3	java.lang.String::length (6 bytes)	
74	4	3	java.lang.String::indexOf (70 bytes)	
74	5	n 0	java.lang.System::arraycopy (native) (static)	
74	6	3	java.lang.String::equals (81 bytes)	
75	8	3	java.lang.Object:: <init> (1 bytes)</init>	
75	9	3	java.lang.Math::min (11 bytes)	
75	7	3	java.lang.AbstractStringBuilder::ensureCapacityInternal (16 b	ytes)
75	10	3	java.lang.AbstractStringBuilder::append (50 bytes)	
76	11	3	java.lang.String::getChars (62 bytes)	
81	12	1	java.lang.ref.Reference::get (5 bytes)	
81	13	3	java.lang.StringBuilder::append (8 bytes)	
82	14	3	java.lang.String::indexOf (7 bytes)	
83	16	3	java.lang.Number:: <init> (5 bytes)</init>	
83	19	1	java.lang.Object:: <init> (1 bytes)</init>	
84	8	3	java.lang.Object:: <init> (1 bytes) made not entrant</init>	Notice <b>standard</b>
84	18	3	<mark>SwitchTest::</mark> daysInMonth (144 bytes)	
84	17	3	java.lang.Integer:: <init> (10 bytes)</init>	compilation path
84	15	1	java.lang.Integer::intValue (5 bytes)	0 -> 3 -> 4
84	20	4	<mark>SwitchTest::</mark> daysInMonth (144 bytes)	0-> 3-> 4
86	18	3	<mark>SwitchTest::</mark> daysInMonth (144 bytes)	
88	21 %	3	<mark>SwitchTest::</mark> compute @ 10 (35 bytes)	
88	22	3	<mark>SwitchTest::</mark> compute (35 bytes)	
89	23 %	4	<mark>SwitchTest::</mark> compute @ 10 (35 bytes)	
91	21 %	3	<mark>SwitchTest::</mark> compute @ -2 (35 bytes) made not entrant	
91	23 %	4	<mark>SwitchTest::</mark> compute @ -2 (35 bytes) made not entrant	
92	24 %	4	<mark>SwitchTest::</mark> compute @ 10 (35 bytes)	
94	25	4	<mark>SwitchTest::</mark> compute (35 bytes)	31
95	22	3	<mark>SwitchTest::</mark> compute (35 bytes) made not entrant	

- » -XX:+UnlockDiagnosticVMOptions -XX:+PrintAssembly
- » all examples are in JVM 8 64-bit, Intel Haswell CPU, AT&T syntax

#### tier 3 - C1 with invocation & backedge counters + MethodDataOop (MDO) cnt.

because: count="256" iicount="256" hot\_count="256"

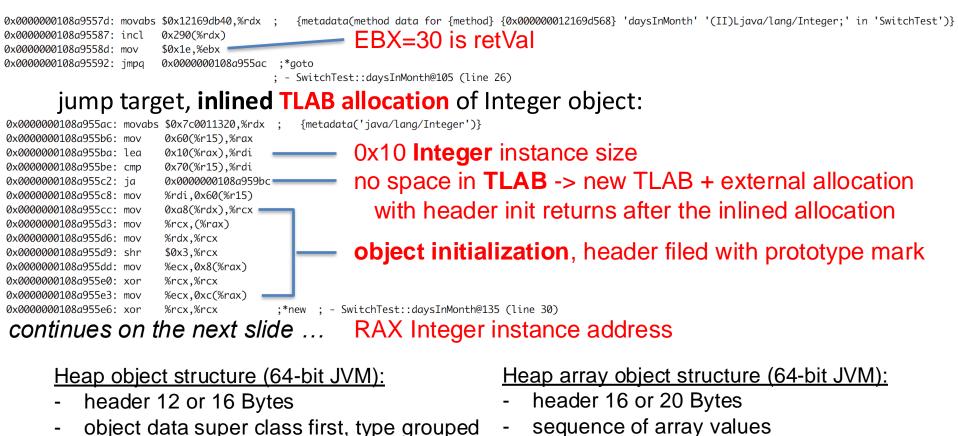
stack initialization, **invocation counter** in MDO (0xDC) + **trigger** C2 (tier 4) SwitchTest::daysInMonth (144 bytes) 127 17 Decoding compiled method 0x000000108a95190: RSP – current stack position Code: [Entry Point] R15 – current thread meta information [Verified Entry Point] [Constants] RAX – return value # {method} {0x000000012169d568} 'daysInMonth' '(II)Ljava/lang/Integer;' in 'SwitchTest' # parm0: rsi = int month, year = int rdx # parm1: [sp+0x90] (sp of caller) stacking banging technique, StackOverflowException 0x0000000108a95380: mov %eax,-0x14000(%rsp) 0x0000000108a95387: push %rbp stack frame allocation, saving registers 0x0000000108a95388: sub \$0x80,%rsp 0x0000000108a9538f: mov %rdx,%rdi {metadata(method data for {method} {0x00000012169d568} 'daysInMonth' '(II)Ljava/lang/Integer;' in 'SwitchTest')} 0x0000000108a95392: movabs \$0x12169db40,%rax 0x0000000108a9539c: mov 0xdc(%rax),%edx 0x0000000108a953a2: add \$0x8,%edx 0x0000000108a953a5: mov %edx,0xdc(%rax) {metadata({method} {0x00000012169d568} 'daysInMonth' '(II)Ljava/lang/Integer;' in 'SwitchTest')} 0x0000000108a953ab: movabs \$0x12169d568,%rax 0x1ff8 >> 3 = 1024 invocations trigger tier 4 (C2) 0x0000000108a953b5: and \$0x1ff8,%edx 0x0000000108a953bb: cmp \$0x0,%edx 0x0000000108a953be: je 0x0000000108a95996 ;\*iload\_0 - SwitchTest::daysInMonth@0 (line 7) continues on the next slide 31<sup>th</sup> March 2025 ESW – Lecture 7 32

0x0000000108a953c4:	cmp	\$0x1,%esi	ESI is month input
0x0000000108a953c7:	je	0x0000000108a95597	
0x0000000108a953cd:	cmp	\$0x2,%esi	
0x0000000108a953d0:	je	0x0000000108a95435	
0x0000000108a953d6:	стр	\$0x3,%esi	
0x0000000108a953d9:	je	0x0000000108a95597	
0x0000000108a953df:	стр	\$0x4,%esi	
0x0000000108a953e2:	je	0x0000000108a9557d	
0x0000000108a953e8:	стр	\$0x5,%esi	
0x0000000108a953eb:	je	0x0000000108a95597	
0x0000000108a953f1:	стр	\$0x6,%esi	
0x0000000108a953f4:	je	0x0000000108a9557d	
0x0000000108a953fa:	стр	\$0x7,%esi	
0x0000000108a953fd:	je	0x0000000108a95597	
0x0000000108a95403:	стр	\$0x8,%esi	
0x0000000108a95406:	je	0x0000000108a95597	
0x0000000108a9540c:	стр	\$0x9,%esi	
0x0000000108a9540f:	je	0x0000000108a9557d	
0x0000000108a95415:	стр	\$0xa,%esi	
0x0000000108a95418:	je	0x0000000108a95597	
0x0000000108a9541e:	стр	\$0xb,%esi	
0x0000000108a95421:	je	0x0000000108a9557d	
0x0000000108a95427:	стр	\$0xc,%esi	default jump
0x0000000108a9542a:	je	0x0000000108a95597	acta an Jamp
0x0000000108a95430:	jmpq	0x0000000108a956d0	;*tableswitch
			· SwitchTact. days ToMonth@1 (line 7)

; - SwitchTest::daysInMonth@1 (line 7)

#### continues on the next slide ...

#### target for month=4, **backedge counter** tracking in MDO (0x290):



8B - mark word 4B / 8B – Klass ref. ... object data

sequence of values

8B - mark word

4B / 8B – Klass ref.

4B – array length

#### **inlined** Integer constructor with supers, invocation counts in MDOs (0xDC) Integer::<init>, Number::<init>, Object::<init>

#### - currently in tier 3 (C1 counters in MDO)

%rax,%rdx 0x000000108a955e9: mov 0x000000108a955ec: movabs \$0x12169db40,%rsi \$0x1,0x358(%rsi) 0x000000108a955f6: adda 0x000000108a955fe: movabs \$0x1214df850,%rdx 0x000000108a95608: mov 0xdc(%rdx),%esi 0x000000108a9560e: add \$0x8,%esi 0x000000108a95611: mov %esi,0xdc(%rdx) 0x0000000108a95617: movabs \$0x121341738,%rdx \$0x7ffff8,%esi 0x000000108a95621: and \$0x0,%esi 0x000000108a95627: cmp 0x000000108a9562a: je 0x0000000108a959c9 0x000000108a95630: mov %rax,%rdx 0x000000108a95633: movabs \$0x1214df850,%rsi \$0x1,0x108(%rsi) 0x000000108a9563d: adda 0x000000108a95645: movabs \$0x1214df720,%rdx 0x000000108a9564f: mov 0xdc(%rdx),%esi 0x000000108a95655: add \$0x8,%esi 0x000000108a95658: mov %esi,0xdc(%rdx) 0x000000108a9565e: movabs \$0x12133a9d8,%rdx 0x000000108a95668: and \$0x7ffff8,%esi 0x000000108a9566e: cmp \$0x0,%esi 0x0000000108a959e0 0x000000108a95671: je 0x000000108a95677: mov %rax.%rdx 0x000000108a9567a: movabs \$0x1214df720,%rsi \$0x1,0x108(%rsi) 0x000000108a95684: addq 0x000000108a9568c: movabs \$0x12140ddf8,%rdx 0x000000108a95696: mov 0xdc(%rdx),%esi \$0x8,%esi 0x000000108a9569c: add 0x000000108a9569f: mov %esi,0xdc(%rdx) 0x000000108a956a5: movabs \$0x12129d480,%rdx 0x000000108a956af: and \$0x7ffff8,%esi 0x000000108a956b5: cmp \$0x0,%esi 0x000000108a956b8: je 0x000000108a959f7 0x000000108a956be: mov %ebx,0xc(%rax)

#### invocation cnt of Integer::<init> in daysInMonth for inline

{metadata(method data for {method} {0x000000012169d568} 'daysInMonth' '(II)Ljava/lang/Integer;' in 'SwitchTest')}

{metadata(method data for {method} {0x000000121341738} '<init>' '(I)V' in 'java/lang/Integer')}

invocation cnt in Integer::<init> + trigger its C2 (tier 4) {metadata({method} {0x000000121341738} '<init>' '(I)V' in 'java/lang/Integer')}

#### invocation cnt of Number::<init> in Int::<init> for inline

{metadata(method data for {method} {0x000000121341738} '<init>' '(I)V' in 'java/lang/Integer')}

{metadata(method data for {method} {0x00000012133a9d8} '<init>' '()V' in 'java/lang/Number')}

invocation cnt in Number::<init> + trigger its C2 (tier 4)
{metadata({method} {0x000000012133a9d8} '<init>' '()V' in 'java/lang/Number')}

## invocation cnt of Object::<init> in Numb::<init> for inline {metadata(method data for {method} {0x00000012133a9d8} '<init>' '()V' in 'java/lang/Number')}

{metadata(method data for {method} {0x00000012129d480} '<init>' '()V' in 'java/lang/Object')}

#### invocation cnt in Object::<init> + trigger its C2 (tier 4)

{metadata({method} {0x00000012129d480} '<init>' '()V' in 'java/lang/Object')}

;\*putfield value

RAX.value = EBX (retVal)

java.lang.Integer::<init>@6 (line 850) **continues on the next slide** java.lang.Integer::<init>@6 (line 850 SwitchTest::daysInMonth@140 (line 30)

final cleanup and return, RAX contains return value (pointer to Integer instance)

0x0000000108a956c1: add 0x0000000108a956c8: pop 0x0000000108a956c9: test \$0x80,%rsp

%rbp

0x000000108a956cf: reta

%eax,-0x214c5cf(%rip) {poll\_return} :\*areturn ; - SwitchTest::daysInMonth@143 (line 30)

stack dealocation, reload register # 0x000000106949100 \_\_\_ safepoint poll check

- **Ordinary Object Pointer** (Oop) flexible reference to an object **>>**
- **safepoint** Oops in perfectly described state by OopMap (GCmaps) **>>** 
  - Oop can be safely manipulated externally while thread is suspended ٠
  - in interpreted mode between any 2 byte codes ٠
  - in C1/C2 compiled end of all methods (not in-lined), non-counted loop back ٠ edge,

during JVM run-time call

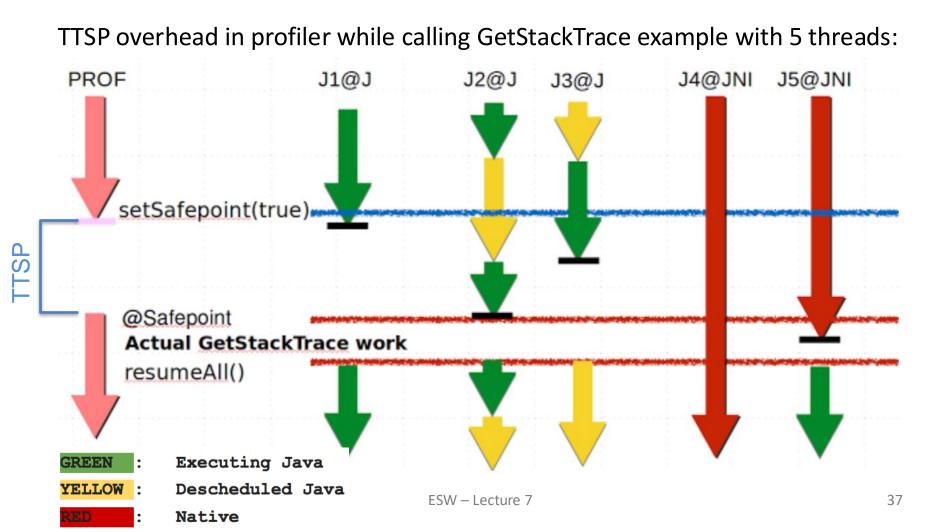
- parked, blocked on IO, monitor or lock ٠
- while running JNI (do not need thread suspension) ٠
- **global safepoint (all threads)** stop the world ٠
  - GC, print threads, thread dumps, heap dump, get all stack trace
  - class redefinition (e.g. instrumentation), debug
  - enableBiasedLocking, RevokeBias (removed since Java 17)
- local safepoint (just executing thread) ٠

31<sup>th</sup> March 2025 de-optimization, OSR ESW – Lecture 7

## JVM – Time To Safe Point

#### » **Time To Safe Point** (TTSP) – how long it takes to enter safepoint

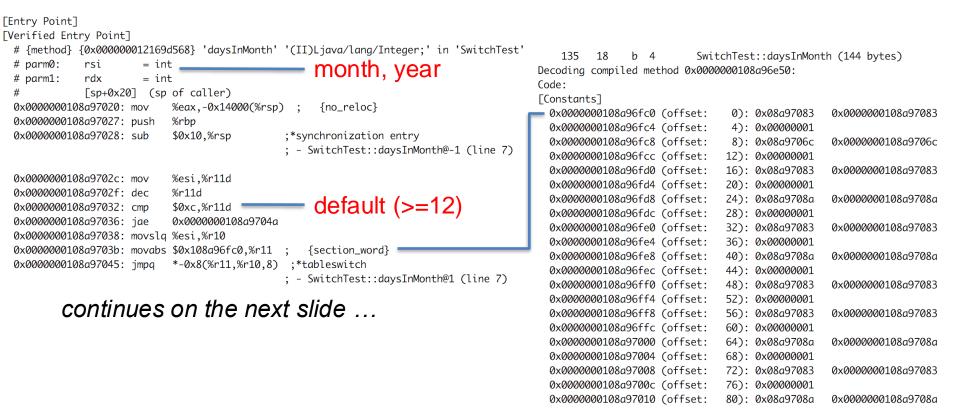
-XX:+PrintSafepointStatistics -XX:+PrintGCApplicationStoppedTime -XX:PrintSafepointStatisticsCount=1



#### tier 4 – C2 compiler – no profile counters

because: count="5376" iicount="5376" hot\_count="5376"

#### stack initialization, use lookup table jump for table switch



0x000000108a97014 (offset:

0x000000108a97018 (offset:

0x000000108a9701c (offset:

0x0000000108a97083

84): 0x00000001

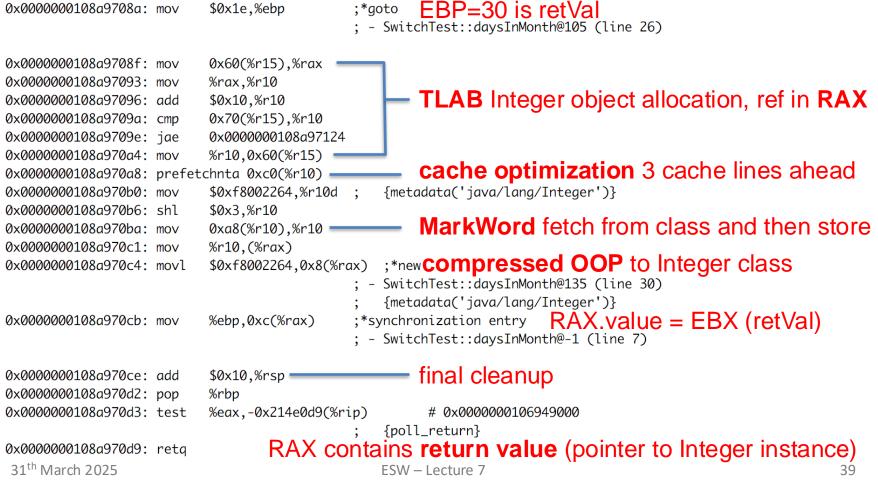
88): 0x08a97083

92): 0x00000001

target for month=4

Integer.<init>, Number.<init>, Object.<init> - iicount="5376" -> Inline (hot)

# optimized branching, inlined TLAB allocation, inlined constructors, no nulling, caching optimization



#### target for default

class IllegalArgumentException no profile -> uncommon -> reinterpret

#### remap inputs, return back to reinterpreter

0x0000000108a9704a: mo∨ 0x0000000108a9704c: mo∨	%esi,%ebp \$0x2,%esi
0x0000000108a97051: xchg	
0X0000000108097051. XCHg	%ax,%ax
0x0000000108a97053: callq	0x00000010898b1a0 ; OopMap{off=56}
	;*new ; - SwitchTest::daysInMonth@108 (line 28) ; {runtime_call}
0x0000000108a97058: callq	0x000000107e7e33c ;*new
	; - SwitchTest::daysInMonth@108 (line 28) ; {runtime_call}

#### then tier 3 code version is discarded

138 17 3 SwitchTest::daysInMonth (144 bytes) made not entrant

## JVM – Example 2 – compute Assembly Code – Tier 4 OSR

```
OSR @10 – On Stack Replacement at bytecode 10
```

tier 4 - C2 (before there was tier 3 OSR @10 because 60416 loops and tier 3)
 because: backedge\_count="101376" hot\_count="101376"

```
147
     21 % b 4
                     SwitchTest::compute @ 10 (35 bytes)
                                                                                    0: iconst 4
                                                                                    1: istore 0
copy 4 locals on stack from tier3 OSR @10 to regs
                                                                                    2: sipush
                                                                                                       2000
                                                                                    5: istore 1
StackMapTable: number_of_entries = 2
 frame_type = 255 /* full_frame */
                                                                                    6: iconst_0
                                     private static int compute() {
   offset delta = 10
                                                                                    7: istore_2
                                          int month = 4;
   locals = [ int, int, int, int ]
                                                                                    8: iconst 0
                                          int year = 2000;
   stack = []
                                          int o=0:
                                                                                    9: istore_3
 frame_type = 250 / * \text{ chop } * /
                                          for (int i=0; i<1_000_000; i++) {</pre>
   offset delta = 22
                                                                                  10: iload 3
                                              o+=daysInMonth(month, year);
                                                                                   11: ldc
                                                                                                       #12
                                                                                                        33
                                                                                   13: if_icmpge
                                          return o;
                                                                                   16: iload_2
                                                                                   17: iload 0
0x000000108a98370: mov
                         %eax,-0x14000(%rsp)
                                                                                   18: iload_1
0x000000108a98377: push
                         %rbp
                                                                                   19: invokestatic #13
0x000000108a98378: sub
                         $0x20,%rsp
                                                                                   22: invokevirtual #14
                                            RSI compiled stack of
                         (%rsi),%ebx
0x000000108a9837c: mov
                                                                                   25: iadd
0x000000108a9837e: mov
                         0x18(%rsi),%ebp
                                            tier 3 OSR @10
0x000000108a98381: mov
                         0x10(%rsi),%r13d
                                                                                   26: istore 2
0x000000108a98385: mov
                         0x8(%rsi),%r14d
                                                                                   27: iinc
                                                                                                       3, 1
0x000000108a98389: mov
                         %rsi,%rdi
                                                                                   30: goto
                                                                                                        10
   continues on the next slide ....
                                                                                   33: iload 2
 31<sup>th</sup> March 2025
                                             ESW – Lecture 7
                                                                                   34: ireturn
```

## JVM – Example 2 – compute Assembly Code – Tier 4 OSR

loop criteria		<pre>for (int i=0; i&lt;1_000_000; i++) {</pre>
0x0000000108a98423: cmp 0x0000000108a98429: jge	\$0xf4240,%ebx 0x0000000108a98450	<pre>EBX is local i; 0xF4240 = 1 000 000 ;*if_icmpge ; - SwitchTest::compute@13 (line 37)</pre>
0x0000000108a9842b: inc	%ebx	;*iinc ; - SwitchTest::compute@27 (line 37)

## then there is **inlined** tier 4 daysOfMonth (lookup jump) because the call is **hot**

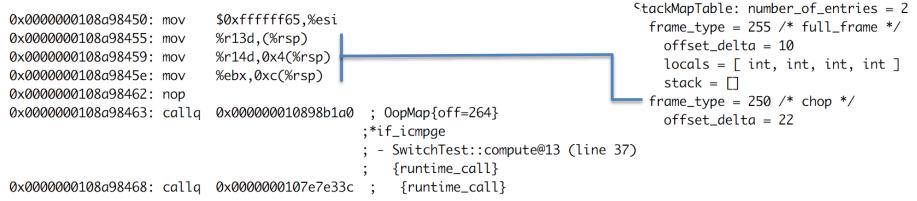
#### ending with addition into accumulator o

0x0000000108a9841a: add %r8d,%

- %r8d,%r14d
- ; OopMap{off=189}
- ;\*goto

; - SwitchTest::compute@30 (line 37)

#### reinterpret on end of cycle jump (unstable if bytecode), save 3 locals to stack



#### JVM – Example 2 – compute Assembly Code – Tier 4

tier 4 – C2

because: count="2" **backedge\_count="150528"** 

#### use combination of **full inline**, **dead code elimination**, **object escape**, **loop invariant hoisting**, **strength reduction**

```
157
         23
                          SwitchTest::compute (35 bytes)
               b 4
Decoding compiled method 0x000000108a97f90:
Code:
[Entry Point]
[Verified Entry Point]
[Constants]
 # {method} {0x00000012169d638} 'compute' '()I' in 'SwitchTest'
              [sp+0x20] (sp of caller)
 #
 0x000000108a980c0: sub $0x18,%rsp
                           %rbp,0x10(%rsp) ;*synchronization entry
 0x0000000108a980c7: mov
                                                ; - SwitchTest::compute@-1 (line 34)
 0x0000000108a980cc: mov
                            $0x1c9c380,%eax _____ 30 000 000
                            $0x10,%rsp
 0x000000108a980d1: add
                            %rbp
 0x0000000108a980d5: pop
                                                         # 0x000000106949000
 0x0000000108a980d6: test
                            %eax,-0x214f0dc(%rip)
                                                   {poll_return}
                              RAX contains return value (primitive int)
 0x0000000108a980dc: reta
31<sup>th</sup> March 2025
                                        ESW – Lecture 7
```

#### Java Virtual Machine – Performance

- » requires warm-up to utilize benefits of C2 (or C1)
- » compilers cannot do all magic -> write better algorithms

#### » 32-bit vs 64 bits JVMs

- 32-bit (max ~3GB heap)
  - smaller memory footprint
  - slower long & double operations
- 64-bit max 32GB virtual memory (with default ObjectAlignmentInBytes)
  - faster performance for long & double
  - slight increase of memory footprint
  - compressed OOPs are slightly slower for references upon usage
  - compressed OOPs less memory -> less frequent GC -> faster program
- 64-bit >32GB virtual memory (large heap)
  - fast reference usage
  - wasting a lot of memory (48GB ~32GB with compressed OOPs)

## Java Virtual Machine – CPU and Memory Profiling

#### » profiling

- <u>CPU</u> time spent in methods
- <u>memory</u> usage, allocations
- » modes
  - <u>sampling</u>
    - periodic sampling of stacks of running threads
    - no invocation counts, no 100% accuracy (various sampling errors)
    - no bytecode (& assembly code) modifications
    - 1-2% impact to standard performance (TTSP, thread dumps, analysis)
  - tracing (instrumetation) method entry, exit, traceObjAllocations
    - instrumented bytecode -> affected performance -> affected compiler optimizations
- » visualvm
  - JVM monitoring, troubleshooting and profiling tool
  - included in JDK 6-8 (jvisualvm), now standalone tool

#### JVM – Example 2 – CPU Tracing of daysOfMonth

#### assembly code of tier 4 – C2 (before there was very complex tier 3)

#### inlined daysInMonth rootMethodEntry tracking

```
# {method} {0x00000012489e838} 'daysInMonth' '(II)Ljava/lang/Integer;' in 'SwitchTest'
# parm0:
            rsi
                      = int
# parm1:
           rdx
                      = int
           [sp+0x70] (sp of caller)
#
0x00000010c08aa80: mov
                          %eax,-0x14000(%rsp) ; {no_reloc}
0x00000010c08aa87: push %rbp
0x000000010c08aa88: sub
                           $0x60,%rsp
                                              ;*synchronization entry
                                              ; - SwitchTest::daysInMonth@-1 (line 7)
0x000000010c08aa8c: mov
                          %edx,0x4(%rsp)
0x00000010c08aa90: mov
                           %esi,(%rsp)
                                                  {oop(a 'java/lang/Class' = 'org/netbeans/lib/profiler/server/ProfilerRuntimeCPU')}
0x00000010c08aa93: movabs $0x76c73a180,%r10
0x00000010c08aa9d: movzbl 0x82(%r10),%r11d
                                              ;*getstatic recursiveInstrumentationDisabled
                                              ; - org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr::rootMethodEntry@0 (line 189)
                                              ; - SwitchTest::daysInMonth@3 (line 7)
                          %r11d,%r11d
0x00000010c08aaa5: test
                          0x000000010c08b075 ;*ifeq
0x00000010c08aaa8: jne
                                              ; - org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr::rootMethodEntry@3 (line 189)
                                              ; - SwitchTest::daysInMonth@3 (line 7)
                                                  {oop(a 'java/lang/Class' = 'org/netbeans/lib/profiler/server/ThreadInfo')}
0x000000010c08aaae: movabs $0x76c73e220,%r10 ;
0x00000010c08aab8: mov
                           0x78(%r10),%r8d
                                              ;*getstatic lastThreadInfo
                                              ; - org.netbeans.lib.profiler.server.ThreadInfo::getThreadInfo@4 (line 244)
                                              ; - org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr::rootMethodEntry@7 (line 193)
                                              ; - SwitchTest::daysInMonth@3 (line 7)
0x00000010c08aabc: mov
                           0x40(%r12,%r8,8),%ebp ;*getfield thread
                                              ; - org.netbeans.lib.profiler.server.ThreadInfo::getThreadInfo@9 (line 246)
                                              · - one netheans lib profiler server ProfilerRuntimeCPUFullInstr::rootMethodEntry@7 (line 193)
```

fc9

#### 749 Bytes of assembly code for each rootMethodEntry

## JVM – Example 2 – CPU Tracing of daysOfMonth

#### additional rootMethodEntry and rootMethodExit trackings for

#### Integer::<init> and Number::<init>

#### inlined rootMethodExit after Integer instance.value = retVal

	0x8(%rsp),%r11 %r10d,0xc(%r11)	;*synchronization entry ; - org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr::methodExit@-1 (line 147) ; - java.lang.Integer:: <init>@20 (line 851) ; - SwitchTest::daysInMonth@148 (line 30)</init>
0x000000010c08b743: movabs 0x000000010c08b74d: movzbl		<pre>; {oop(a 'java/lang/Class' = 'org/netbeans/lib/profiler/server/ProfilerRuntimeCPU')} ;*getstatic recursiveInstrumentationDisabled ; - org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr::methodExit@0 (line 147) ; - java.lang.Integer::<init>@20 (line 851) ; - SwitchTest::daysInMonth@148 (line 30)</init></pre>
	%ebp,%ebp 0x000000010c08bdd1	;*ifeq ; - org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr::methodExit@3 (line 147) ; - java.lang.Integer:: <init>@20 (line 851) ; - SwitchTest::daysInMonth@148 (line 30)</init>
0x000000010c08b75d: movabs 0x000000010c08b767: mov	\$0x76c73e220,%r10 0x78(%r10),%ecx	<pre>; {oop(a 'java/lang/Class' = 'org/netbeans/lib/profiler/server/ThreadInfo')} ;*getstatic lastThreadInfo ; - org.netbeans.lib.profiler.server.ThreadInfo::getThreadInfo@4 (line 244) ; - org.netbeans.lib.profiler.server.ProfilerRuntimeCPUFullInstr::methodExit@7 (line 151) ; - java.lang.Integer::<init>@20 (line 851) ; - SwitchTest::daysInMonth@148 (line 30)</init></pre>
0x000000010c08b76b: mov	0x40(%r12,%rcx,8),%	<pre>%ebp ;*invokestatic currentThread ; - org.netbeans.lib.profiler.server.ThreadInfo::getThreadInfo@0 (line 243) ; - org.netbeans.lib.profiler.server.ThreadInfo::getThreadInfo@0 (line 243)</pre>
313 Bytes of a	ssembly coc	le for each rootMethodEntry

## JVM – Example 2 – CPU Tracing Outcome

			Java VisualV	M						
		_								
Call Tree - Method RMI TCP Cor RMI TCP Cor RMI TCP Cor RMI TCP Cor SwitchTes SwitchTes Switch Self Call Self tir	Monitor t (pid 849 w: Methen nnection(idlent nnection(idlent) st.compute nTest.daysIn va.lang.Integer Self time java.lang.Nu If time me	Threads 916) hods C le) le) 0 hMonth (int, int er. <init> (int) umber.<init> ()</init></init>	Sampler	Service Profiler	Buffer Pools	📰 Visual GC	Total Time 83,120 ms ( 38,035 ms ( 8,444 ms ( 5,059 ms ( 2,808 ms ( 1,750 ms ( 1,058 ms ( 2,251 ms ( 3,384 ms (	100%) 100%) 100%) 100%) 59.9%) 33.3%) 20.7%) 20.7%) 2.2.5%) 26.7%) 40.1%)	nvocations 1 1	× 1 1 1 1 0 0 0 0 0 0 0 0 0 0
🐨 Method Name	e Filter (Cont	ains)								•
	Overview     SwitchTest     Profiler Snapshot     Self tir     Call Tree - Method     SwitchTes     SwitchTes     Self tir     Ge SwitchTes	Overview       Monitor         SwitchTest (pid 849         Profiler Snapshot         Image: Supervision of the state of t	Overview       Monitor       Threads         SwitchTest (pid 84916)         Profiler Snapshot         Image: Second Stress Stre	Start Page   SwitchTest (pid 84116)   Overview   Monitor   Threads   SwitchTest (pid 84916)   Profiler Snapshot   Image: Superstandard State   Image: Superstandard State   Image: State </td <td>Overview       Monitor       Threads       Sampler       Profiler         SwitchTest (pid 84916)         Profiler Snapshot         Image: Sample in the image: Month in the image: Sample in the image:</td> <td>Start Page       SwitchTest (pid 84116)       SwitchTest (pid 84774)       SwitchTest (pid 84916)         Profiler       Monitor       Threads       Sampler       Profiler       Buffer Pools         SwitchTest (pid 84916)       Profiler Snapshot       Image: SwitchTest (pid 84916)       Image: SwitchTest (pid 84916)         Profiler Snapshot       Image: SwitchTest (pid 84916)       Image: SwitchTest (pid 84916)       Image: SwitchTest (pid 84916)         Call Tree - 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## JVM – Example 2 – Profiling Performance

- » CPU tracing of compute method results into much slower code
  - no object escape from daysInMonth call
  - no invariant hoisting
  - no strength reduction (full loop remains there)
- » object allocation tracing is similar with traceObjAlloc injected calls
- » recommended approach
  - do sampling first
  - identify performance bottlenecks (where most time is spent)
    - it could be outside of JVM (e.g. latency of external DB, file system)
  - focus with tracing just to identified parts

#### JVM – Java Mission Control

#### **jmc** – included in JDKs, sampling in Flight recorder



Events

#### **Approach to Performance Testing**

- » test real application ideally the way it is used
  - microbenchmarks measure very small units
    - warm-up to measure real code, not compilers itself, biased locks
      - keep in mind caching
    - beware of compilers use results, reordering of operations
    - synchronization multi-threaded benchmarks
    - vary pre-calculated parameters affecting complexity different optimization in reality
  - macrobenchmarks measure application input/output
    - least performing component affects the whole application
- » understand throughput, elapsed and response time
  - outliers can occur e.g. GC
  - use existing generators than writing own

#### **Approach to Performance Testing**

- » understand variability changes over time
  - internal state
  - background effects load, network
  - probabilistic analysis works with uncertainty
- » **test early, test often** ideally part of development cycle
  - ideally some properly repeated benchmarking
  - automate tests scripted
  - proper test coverage of functionality and inputs
  - test on target system different code on different systems